1% CAP REGULATIONS AND THE ALTERNATE ASSESSMENT
ESSA changed regulations from **proficiency** to **participation**.

The total number of students assessed using the alternate assessment may not exceed **1%** of the total number of students in the state who are assessed in a subject.
States **must**:

- Not **prohibit** an LEA from assessing **more than** 1% with an alternate assessment.
- Require that an LEA submit information **justifying** the **need** of the LEA to assess more than 1% of its assessed students with an alternate assessment.
- Provide appropriate **oversight**, as determined by the State, of an LEA that is required to submit information to the State.
Show the number and percentage of students in each subgroup who took or will take an alternate assessment.

- Subgroups:
  - Male or Female
  - Hispanic/Latin, White, Black or African American, American Indian, Asian, Native Hawaiian
  - Non-English Learner or English Learner
  - Poverty or Non-poverty
  - Two or More Races
  - Non-Migrant
CALCULATING THE 1% BASED ON 2016-2017 DATA

**Reading**
- Total number of students who participated in reading on the *Alabama Alternate Assessment* in grades 3-8 and 10
- Total number of students who participated in reading on *ACT Aspire* 3-8 and 10
- Total number of students who participated in reading on *ACCESS* and *Alternate ACCESS* in grades 3-8 and 10

**Math**
- Total number of students who participated in mathematics on the *Alabama Alternate Assessment* in grades 3-8 and 10
- Total number of students who participated in mathematics *ACT Aspire* 3-8 and 10

**Science**
- Total number of students who participated in science on the *Alabama Alternate Assessment* in grades 3-8 and 10
- Total number of students who participated in science on *ACT Aspire* 3-8 and 10
CALCULATING THE 1% BASED ON 2016-2017 DATA

- **All grades Reading**
  - Total participation on AAA Reading = Numerator
  - Total Participation on AAA Reading + ACCESS Reading + ALTERNATE ACCESS Reading + ACT ASPIRE Reading = Denominator

\[
\text{Numerator} = 4,701 \\
\text{Denominator} = 389,084 \\
\text{Percentage} = \frac{4,701}{389,084} \times 100 = 1.21 \%
\]
CALCULATING THE 1% BASED ON 2016-2017 DATA

- All grades Mathematics
  - Total participation on AAA Mathematics = Numerator
  - Total Participation on AAA Mathematics + ACT ASPIRE Mathematics = Denominator

\[
\frac{4,701}{389,028} = 1.21\% 
\]
All grades Science

- Total participation on AAA Science = Numerator
- Total Participation on AAA Science + ACT ASPIRE Science = Denominator

\[
\frac{2019}{163,995} = 1.23\% 
\]
2017-2018 DATA

- **Reading**
  - Total number of students who participated in *reading* on the *Alabama Alternate Assessment* in grades 3-8 and 10
  - Total number of students who participated in *reading* on *Scantron* 3-8 and *ACT plus Writing* grade 11
  - Total number of students who participated in *reading* on *ACCESS* and *Alternate ACCESS* in grades 3-8 and 11

- **Math**
  - Total number of students who participated in *mathematics* on the *Alabama Alternate Assessment* in grades 3-8 and 10
  - Total number of students who participated in *mathematics* on *Scantron* 3-8 and *ACT plus Writing* grade 11

- **Science**
  - Total number of students who participated in *science* on the *Alabama Alternate Assessment* in grades 3-8 and 10
  - Total number of students who participated in *science* on *Scantron* 3-8 and *ACT plus Writing* grade 11
**States must:**

- Show that it has assessed 95% of **ALL** students and 95% of **ALL** students with disabilities.
- Assure that each LEA that will assess more than 1% of students using an alternate assessment has followed all State guidelines.
- LEA will address any subgroup disproportionality in the percentage of students taking an alternate assessment.
- Plan for future system improvements and monitoring in future administrations to avoid exceeding the cap.
Refer to the IEP Decision-Making Guidelines on the Student Assessment Website, AAA Webpage, Manuals.

IEP Guidance from Student Assessment

This document is intended to help guide IEP teams to determine whether the AAA Program, an alternate assessment program based on alternate achievement standards, is the most appropriate assessment for a student with significant cognitive disabilities.

- Definition of Significant Cognitive Disabilities (Alabama)
- Characteristics of significant cognitive disabilities
- Flow Chart
1% CAP- MONITORING ALTERNATE ASSESSMENT PARTICIPATION

- Notification to LEAs that the ALSDE has been determined to be over the 1% participation on the AAA in any subject
  - Letter to Superintendent
  - Exceeding the 1% Cap Justification Form
    - Must indicate how all persons who served on an IEP Team were trained on the AAA decision making process and participation guidelines
      - Copies of guidance
    - Explain **WHY** your LEA exceeds the 1% threshold
  - Assurance
  - Superintendent signs the form
  - Submitted to ALSDE Student Assessment