



**State of Alabama**  
**Coronavirus Aid, Relief, and Economic Security (CARES) Act**  
**Elementary and Secondary School Emergency Relief Fund (ESSER)**  
**Local Educational Agency (LEA) Application**

In order to receive a CARES Act ESSER Fund allocation, an LEA must submit this application to the SEA.

**GENERAL INFORMATION**

**1. LEA Information**

LEA Name	Shelby County Board of Education
Mailing Address	PO Box 1910
Physical Address	410 East College Street
City/Town and Zip Code	Columbiana, AL 35051
Superintendent's Name	Dr. Lewis Brooks
Contact Person	John Gwin
Contact Person Position	Chief School Financial Officer
Contact Telephone Number	(205) 682-7032
Contact Email	jgwin@shelbyed.org

**PROGRAMMATIC, FISCAL, AND REPORTING ASSURANCES**

The United States Department of Education (USED) has approved the application from the State of Alabama for ESSER funds under the CARES Act. The availability of funds is from 4/29/2020 to 4/29/2021. LEAs will be allowed to expend funds until 9/30/2022. Pre-award costs to the ESSER fund will be allowed for allowable cost incurred on or after March 13, 2020.

**2. Allowable Service(s) Assurance**

*The LEA Superintendent or his/her authorized representative assures or certifies that:*

The LEA will use ESSER funds for activities allowable under section 18003(d) of Division B of the CARES Act. The United States Department of Education does not consider the following to be an allowable use of ESSER funds, under any part of 18003: 1) subsidizing or offsetting executive salaries and benefits of individuals who are not employees of the SEA or LEAs or 2) expenditures related to state or local teacher or faculty unions or associations.

**How will the LEA determine the most important educational needs as a result of COVID-19?**

The Shelby County executive staff, which includes the Superintendent, CSFO, Assistant Superintendents of Instruction, Operations, HR, and Student Services, met on several occasions over the spring and summer to determine the best use of ESSER Funds. After reviewing the allowable cost from CRF and GEER funds, the executive team decided most ESSER funds should go toward cleaning and disinfecting services and supplies, PPE, and substitutes for COVID related absences. The team decided that the most pressing educational need was to make sure students and staff had disinfected, clean, and safe environments. The team also decided funds should be available to pay substitutes when staff were absent due to COVID-19 illness or quarantine.

**How does the LEA intend to assess and address student learning gaps resulting from the disruption in educational services?**

All students will be administered a diagnostic assessment to determine present level of performance. Elementary students will be assessed using the program I-Ready and secondary students will use the program IXL. Students will be assigned an individualized learning path to provide an instructional program tailored to each student's unique academic needs. The district will also review the number of Chromebooks available for students to use to run the programs.

Which allowable activities will the LEA use ESSER funds to provide educational services? (Select all that apply)	
<input checked="" type="checkbox"/>	Activities authorized by the Every Student Succeeds Act (ESSA).
<input type="checkbox"/>	Activities authorized by the Individuals with Disabilities Education Act (IDEA).
<input type="checkbox"/>	Activities authorized by the Adult Education and Family Literacy Act.
<input type="checkbox"/>	Activities authorized by the Carl D. Perkins Career and Technical Education Act of 2006.
<input type="checkbox"/>	Activities authorized by subtitle B of Title VII of the McKinney-Vento Homeless Assistance Act.
<input checked="" type="checkbox"/>	Coordination of preparedness and response efforts of local education agencies with State, local, Tribal, and territorial public health departments, and other relevant agencies, to improve coordinated responses among such entities to prevent, prepare for, and respond to coronavirus.
<input checked="" type="checkbox"/>	Providing principals and other school leaders with the resources necessary to address the needs of the individual schools.
<input checked="" type="checkbox"/>	Activities to address the unique needs of low-income children or students, children with disabilities, English learners, racial and ethnic minorities, students experiencing homelessness, and foster care youth, including how outreach and service delivery will meet the needs of each population.
<input type="checkbox"/>	Developing and implementing procedures and systems to improve the preparedness and response efforts of local educational agencies.
<input type="checkbox"/>	Training and professional development for staff of the local educational agency on sanitation and minimizing the spread of infectious diseases.
<input checked="" type="checkbox"/>	Purchasing supplies to sanitize and clean the facilities of a local educational agency, including building operated by such agency.
<input type="checkbox"/>	Planning for and coordinating during long-term closures, including for how to provide meals to eligible students, how to provide technology for online learning to all students, how to provide guidance for carrying out requirements under the Individuals with Disabilities Education Act (20 USC 1401 et seq.) and how to ensure other educational services can continue to be provided consistent with all Federal, State, and local requirements.
<input checked="" type="checkbox"/>	Purchasing educational technology (including hardware, software, and connectivity) for students who are served by the local educational agency that aids in regular and substantive educational interaction between students and their classroom instructors, including low-income students and students with disabilities, which may include assistive technology or adaptive equipment.
<input type="checkbox"/>	Providing mental health services and supports.
<input type="checkbox"/>	Planning and implementing activities related to summer learning and supplemental afterschool programs, including providing classroom instruction or online learning during the summer months and addressing the needs of low-income students, students with disabilities, English learners, migrant students, students experiencing homelessness, and children in foster care.
<input checked="" type="checkbox"/>	Other activities that are necessary to maintain the operation of and continuity of services in local educational agencies and continuing to employ existing staff of the local educational agency.

Provide a detailed budget to explain how ESSER funds will be used in your LEA.				
CARES Act ESSER Funds				
FUND SOURCE CODE 4290				
Function	Object	Program	Amount	Brief Description of Expenditure
3200	343	8300	\$210,439.87	Building Disinfecting Services
4170	341	8410	\$1,350.00	Bus Disinfecting Services
9200	489	4900	\$595.52	PPE for private schools
9200	411	4900	\$1,597.54	Mats and Desk Dividers
9200	499	4900	\$1,708.00	Water fountain bottle filler attachments
1100	411	8,100	\$5,000.00	Instructional packets for distance learning students
3200	441	8300	\$716,445.70	Cleaning and Disinfecting Supplies for Buildings
2190	489	8210	\$200,000.00	PPE for Employees
3200	499	8300	\$75,000.00	Disinfecting Sprayers
4170	441	8410	\$40,000.00	Cleaning and Disinfecting Supplies for Buses
9200	441	4900	\$10,128.76	Cleaning and Disinfecting Supplies for Private Schools

Which allowable activities will the LEA use ESSER funds to provide educational services? (Select all that apply)	
<input checked="" type="checkbox"/>	Activities authorized by the Every Student Succeeds Act (ESSA).
<input type="checkbox"/>	Activities authorized by the Individuals with Disabilities Education Act (IDEA).
<input type="checkbox"/>	Activities authorized by the Adult Education and Family Literacy Act.
<input type="checkbox"/>	Activities authorized by the Carl D. Perkins Career and Technical Education Act of 2006.
<input type="checkbox"/>	Activities authorized by subtitle B of Title VII of the McKinney-Vento Homeless Assistance Act.
<input checked="" type="checkbox"/>	Coordination of preparedness and response efforts of local education agencies with State, local, Tribal, and territorial public health departments, and other relevant agencies, to improve coordinated responses among such entities to prevent, prepare for, and respond to coronavirus.
<input checked="" type="checkbox"/>	Providing principals and other school leaders with the resources necessary to address the needs of the individual schools.
<input checked="" type="checkbox"/>	Activities to address the unique needs of low-income children or students, children with disabilities, English learners, racial and ethnic minorities, students experiencing homelessness, and foster care youth, including how outreach and service delivery will meet the needs of each population.
<input type="checkbox"/>	Developing and implementing procedures and systems to improve the preparedness and response efforts of local educational agencies.
<input type="checkbox"/>	Training and professional development for staff of the local educational agency on sanitation and minimizing the spread of infectious diseases.
<input checked="" type="checkbox"/>	Purchasing supplies to sanitize and clean the facilities of a local educational agency, including building operated by such agency.
<input type="checkbox"/>	Planning for and coordinating during long-term closures, including for how to provide meals to eligible students, how to provide technology for online learning to all students, how to provide guidance for carrying out requirements under the Individuals with Disabilities Education Act (20 USC 1401 et seq.) and how to ensure other educational services can continue to be provided consistent with all Federal, State, and local requirements.
<input checked="" type="checkbox"/>	Purchasing educational technology (including hardware, software, and connectivity) for students who are served by the local educational agency that aids in regular and substantive educational interaction between students and their classroom instructors, including low-income students and students with disabilities, which may include assistive technology or adaptive equipment.
<input type="checkbox"/>	Providing mental health services and supports.
<input type="checkbox"/>	Planning and implementing activities related to summer learning and supplemental afterschool programs, including providing classroom instruction or online learning during the summer months and addressing the needs of low-income students, students with disabilities, English learners, migrant students, students experiencing homelessness, and children in foster care.
<input checked="" type="checkbox"/>	Other activities that are necessary to maintain the operation of and continuity of services in local educational agencies and continuing to employ existing staff of the local educational agency.

Provide a detailed budget to explain how ESSER funds will be used in your LEA.				
CARES Act ESSER Funds				
FUND SOURCE CODE 4290				
Function	Object	Program	Amount	Brief Description of Expenditure
9200	495	4900	\$24,254.20	Chromebooks and Kindle Fire Tablets for Private Schools
9200	419	4900	\$1,068.76	Kindle Fire Tablet Protectors and Ink Cartridges for Private Schools
9200	622	4900	\$7,007.19	Software Licenses for Private Schools
9200	494	4900	\$1,344.00	Document Cameras and webcams for Private Schools
1100	499	1200	\$2,000.00	school laminator
9200	341	4,900	\$91,691.14	private school technology support services
1100	589	1200	\$9,521.98	school copier
1100	335	8100	\$300,000.00	teacher subs for COVID related absences
2310	335	8230	\$50,000.00	clerical subs for COVID related absences
3200	335	8300	\$21,399.47	operational subs for COVID related absences
1100	495	8100	\$23,510.87	Chromebooks

**What is the LEA's proposed timeline for providing services and assistance to students and staff?**

Shelby County provided cleaning services and assistance to students and staff in April 2020. The Board will continue to provide services and assistance until funds are exhausted or the Grant ending date of September 30, 2022

**How will the LEA use ESSER funds to promote remote learning?**

Shelby County will only use a portion of ESSER funds to provide Instructional Packets to Distance Learners during the 2020 spring quarantine. Since the Board has received CRF Distance learning funds in a separate grant, the Board will use most of the ESSER funds to purchase supplies to sanitize and clean facilities

**3. Equitable Services Assurances**

*The LEA Superintendent or his/her authorized representative assures or certifies the following:*

- The LEA receiving ESSER funds will provide equitable services to students and teachers in non-public schools as required under Section 18005 of Division B of the CARES Act.
- The LEA receiving ESSER funds will provide equitable services to students and teachers in non-public schools located within the LEA in the same manner as provided under Section 1117 of the ESEA, as determined through timely and meaningful consultation with representatives of non-public schools.
- The LEA will ensure that it will maintain control of funds for the services and assistance provided to a non-public school
- The LEA will ensure that it will have title to materials, equipment, and property purchased with ESSER funds.
- The LEA will ensure that services to a non-public school with ESSER funds will be provided by the LEA directly, or through contract with, another public or private entity.

**Provide a brief explanation of the following: How will equitable service funds be used by the private schools? How will timely and meaningful consultation occur with private schools? What is the proposed timeline for services and assistance to be implemented by private schools?**

Private schools are requesting funds for cleaning supplies, computer hardware, instructional supplies, instructional software licenses, and equipment to continue distance learning. The services and assistance will be implemented upon approval of the grant. Shelby County's Federal Programs Supervisor started formal meetings with private school administrators June 8th, 2020. Private Schools will be able to operate with these funds until the funds are exhausted or the grant ending date of September 30, 2022.

**OTHER ASSURANCES AND CERTIFICATIONS**

**4. Other Assurances and Certifications**

*The LEA Superintendent or his/her authorized representative assures or certifies the following:*

- The LEA that receives ESSER funds will, to the greatest extent practicable, continue to compensate its employees and contractors during the period of any disruptions or closures related to COVID-19 in compliance with Section 18006 of Division B of the CARES Act. In addition, LEAs that accept funds will continue to pay employees and contractors to the greatest extent practicable based on the unique financial circumstances of the LEA. CARES Act funds generally will not be used for bonuses, merit pay, or similar expenditures, unless related to disruptions or closures resulting from COVID-19.
- The LEA will request technical assistance on the use of ESSER funds for remote learning, which includes both distance education as defined in Section 103(7) of the HEA and distance learning as defined in ESEA Section 8101(14), so that students can continue learning during school closures.
- The LEA will cooperate with any SEA monitoring policies and/or procedures with regards to the allowability of expenditures.
- The LEA will use ESSER funds for purposes that are reasonable, necessary, and allocable under the CARES Act.

*The LEA Superintendent or his/her authorized representative assures or certifies the following:*

- The LEA will provide to the SEA the methodology used to provide services or assistance to students and staff in both public and non-public schools, the uses of funds and demonstration of their compliance with Section 18003(d), such as any use of funds addressing the digital divide, including securing access to home-based connectivity and remote-use devices, related issues in supporting remote learning for all students, including disadvantaged populations.
- The LEA will cooperate with any examination of records with respect to such funds by making records available for inspection, production, and examination, and authorized individuals for interview and examination, upon request.
- The LEA will comply with the provisions of all applicable acts, regulations and assurances; the following provisions of Education Department General Administrative Regulations (EDGAR) 34 CFR Parts 76, 77, 81, 82, 84, 97, 98, and 99; the OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR Part 180, as adopted and amended as regulations of the Department in 2 CFR Part 3485; and the Uniform Guidance in 2 CFR Part 200, as adopted and amended as regulations of the Department in 2 CFR Part 3474.

#### **5. Section 442 of the General Education Provisions Act Assurances**

*The LEA Superintendent or his/her authorized representative assures or certifies the following:*

- (a) Each local educational agency which participates in an applicable program under which Federal funds are made available to such agency through a State agency or board shall submit to such agency or board a general application containing the assurances set forth in subsection (b). The application shall cover the participation by that local educational agency in all such programs.
- (b) The general application submitted by a local education agency under subsection (a) shall set forth assurances
- (1) that the local educational agency will administer each program by the application in accordance with all applicable statutes, regulations, program plans, and applications;
  - (2) that the control of funds provided to the local educational agency under each program, and title to property acquired with those funds, will be in a public agency and that a public agency will administer those funds and property;
  - (3) that the local educational agency will use fiscal control and fund accounting procedures that will ensure proper disbursement of, and accounting for, Federal funds paid to that agency under each program;
  - (4) that the local educational agency will make reports to the State agency or board and to the Secretary as may reasonably be necessary to enable the State agency or board and the Secretary to perform their duties and that the local educational agency will maintain such records, including the records required under section 443, and provide access to those records, as the State agency or board or the Secretary deem necessary to perform their duties;
  - (5) that the local educational agency will provide reasonable opportunities for the participation by teachers, parents, and other interested agencies, organizations, and individuals in the planning for and operation of each program;
  - (6) that any application, evaluation, periodic program plan or report relating to each program will be made readily available to parents and other members of the general public;
  - (7) that in the case of any project involving construction -
    - (A) the project is not inconsistent with overall State plans for the construction of school facilities, and
    - (B) in developing plans for construction, due consideration will be given to excellence of architecture and design and to compliance with standards prescribed by the Secretary under section 504 of the Rehabilitation Act of 1973 in order to ensure that facilities constructed with the use of Federal funds are accessible to and usable by individuals with disabilities;
  - (8) that the local educational agency has adopted effective procedures for acquiring and disseminating to teachers and administrators participating in each program significant information from educational research, demonstrations, and similar projects, and for adopting, where appropriate, promising educational practices developed through such projects; and
  - (9) that none of the funds expended under any applicable program will be used to acquire equipment (including computer software) in any instance in which such acquisition results in a direct financial benefit to any organization representing the interests of the purchasing entity or its employees or any affiliate of such an organization.
- (c) A general application submitted under this section shall remain in effect for the duration of the program it covers. The State agencies or boards administering the programs covered by the application shall not require the submission or amendment of such application unless required by changes in Federal or State law or by other significant change in the circumstances affecting an assurance in such application.



**6. Section 427 of the General Education Provisions Act Assurances**

(a) The purpose of this section is to assist the Department in implementing the Department's mission to ensure equal access to education and to promote educational excellence throughout the Nation, by -

(1) ensuring equal opportunities to participate for all eligible students, teachers, and other program beneficiaries in any project or activity carried out under an applicable program; and

(2) promoting the ability of such students, teachers, and beneficiaries to meet high standards.

(b) The Secretary shall require each applicant for assistance under an applicable program (other than an individual) to develop and describe in such applicant's application the steps such applicant proposes to take to ensure equitable access to, and equitable participation in, the project or activity to be conducted with such assistance, by addressing the special needs of students, teachers, and other program beneficiaries in order to overcome barriers to equitable participation, including barriers based on gender, race, color, national origin, disability, and age.

(c) The Secretary may establish criteria and provide technical assistance for meeting the requirements of this section.

(d) Nothing in this section shall be construed to alter in any way the rights or responsibilities established under the laws cited in section 400(d) of this Act.

**What steps does the LEA propose to take to permit students, teachers, and other program beneficiaries to overcome barriers (including barriers based on gender, race, color, national origin, disability, and age) that impede equal access to, or participation in, the program?**

All elementary teachers received professional development training in iReady and secondary teachers received training in iXL as well as other instructional programs they will use to fill achievement gaps. Any student in grades K-3 scoring below the 20 percentile will also receive extra intervention, such as an additional program to intervene for students with characteristics of dyslexia, or they may be invited to attend before or after school tutoring at the district's expense. A Concern Protocol was implemented for teachers to report any concerns they may have to their local school principal and the concern was addressed by the HR division to see how the district could provide accommodations to minimize or alleviate the concern. The Board's process of cleaning and disinfecting will be free of discrimination and provide equal access to cleaning supplies, PPE, and any Substitutes needed by the school district. The decisions for allocating funds to schools in need will be based on strictly the size of the buildings and the number of employees and students based on each campus. In the event any barriers arise, the Board will make every effort to handle and rectify these issues as soon as possible.

To the best of my knowledge and belief, all the information and data in this agreement are true and correct. I acknowledge and agree that the failure to comply with all Assurances and Certifications in this agreement, all relevant provisions and requirements of the CARES Act, Pub. L. No. 116-136 (March 27, 2020), or any other applicable law or regulation may result in liability under the False Claims Act, 31 U.S.C. § 3729, *et seq.* ; OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR Part 180, as adopted and amended as regulations of the Department in 2 CFR Part 3485; and 18 U.S.C. § 1001, as appropriate.

John Gwin

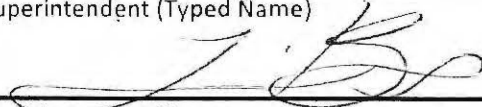
LEA Chief School Financial Officer (Typed Name)



LEA Chief School Financial Officer Signature

Dr. Lewis Brooks

LEA Superintendent (Typed Name)



LEA Superintendent Signature

(205) 682-7032

Telephone Number

1/6/2022

Date (mm/dd/yyyy)

(205) 682-7000

Telephone Number

1/6/2022

Date (mm/dd/yyyy)

**Send completed application to CARESapp@alsde.edu.**

**Upon approval of the application, funds will be released to the LEA.**

**ALSDE Internal Use Only**

Date Application Received: 12/21/2021

Date ALSDE Approved: 1/14/2022



State Superintendent and/or Designee Signature

1/14/2022

Date (mm/dd/yyyy)

Date CARES Act ESSER Funds Released: